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June 6, 2001

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Derrick Brown

ATTN: PATENT APPLICATION

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Re: U.S. Patent Application Entitled **"METHODS AND SYSTEMS  
FOR MONITORING SECURITIES QUOTES"** – John Bunda

Sir:

Transmitted herewith for filing are:

- (1) A disclosure including a title page, a 21 page specification, 10 pages of claims (Claims 1-57), and a one page abstract. In addition, we have also included 6 drawings on 6 sheets. The disclosure and drawings constitute the application of John Bunda for the above-entitled invention.
- (2) Form PTO-1595 Assignment Recordation Cover Sheet (1 page);
- (3) Originally executed Assignment (2 pages);
- (4) Originally executed Declaration (2 pages);

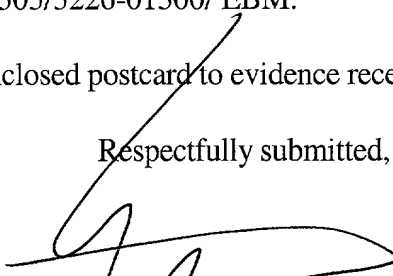
- (5) Originally executed Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney (2 pages);
- (6) Originally executed Verified Statement (Declaration) Claiming Small Entity Status (37 CFR §§ 1.9(f) and 1.27(c)) – Small Business Concern (2 pages);
- (7) A fee authorization form in the amount of \$848.00 for the filing fee and assignment recordation fee; and
- (8) A postcard to evidence receipt of these materials.

Pursuant to 37 C.F.R. § 1.10 Applicant requests the Patent and Trademark Office to accept this application and accord a serial number and filing date as of the date this application is deposited with the U.S. Postal Service for Express Mail.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.18 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Conley, Rose & Tayon Deposit Account No. 50-1505/5226-01300/ EBM.

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Respectfully submitted,



Eric B. Meyertons  
Reg. No. 34,876

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Date: June 6, 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: Unknown  
Filed: June 6, 2001  
Inventor(s):  
John Bunda

Title: METHODS AND  
SYSTEMS FOR  
MONITORING  
SECURITIES QUOTES

§ Examiner: Unknown  
§ Group/Art Unit: Unknown  
§ Atty. Dkt. No: 5226-01300  
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Derrick Brown

**FEE AUTHORIZATION**

Commissioner for Patents  
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fees to Conley, Rose &  
Tayon, P.C. Deposit Account Number 50-1505/5226-01300:

\$355.00 – Basic Filing Fees;

\$333.00 – Surcharge of Excess Claims Fees;

\$120.00 – Surcharge of Independent Claims Fees;

\$ 40.00 - Assignment recordation fee;

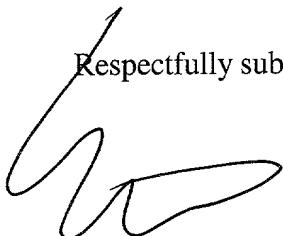
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Attorney Docket No.: 5226-01300

John Bunda

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the above mentioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5226-01300.

Respectfully submitted,



Eric B. Meyertons  
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